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Customer Number



Patent
Case No.: 58429US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: YOKOYAMA, CHIKAFUMI

Application No.: 10/698200 Group Art Unit: 1761

Filed: October 31, 2003 Examiner: Unknown

Title: METHOD OF FORMING MICROSTRUCTURES ON A SUBSTRATE AND A
MICROSTRUCTURED ASSEMBLY USED FOR SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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| CERTIFICATE OF MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: | |
| 11 Feb. 2004 | Signed by: Amber Nicholson |
| Date | Signed by: Amber Nicholson |

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

2-10-04
Date

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Office of Intellectual Property Counsel
3M Innovative Properties Company
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Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Page 1 of 1

Application Number **10/698200**Filing Date **October 31, 2003**First Named Inventor **Yokoyama, Chikafumi**Art Unit **1761**Examiner Name **Unknown**Attorney Case Number **58429US002**

| U.S. Patent Documents | | | | | |
|-----------------------|-------------|----------------------------------|---|--|---|
| Exam. Init.* | Cite No. | Document Number | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Doc. Number-(Kind Code if Known) | | | |
| | A1 | US- 2003/0098528 | 05-29-2003 | Chiu et al. | |
| | A2 | US- 5175030 | 12-29-1992 | Lu et al. | |
| | A3 | US- 5183597 | 02-02-1993 | Lu | |
| | A4 | US- 6247986 | 06-19-2001 | Chiu et al. | |
| | A5 | US- 6352763 | 03-05-2002 | Dillon et al. | |
| | A6 | US- | | | |
| | A7 | US- | | | |
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| | A11 | US- | | | |

Foreign Patent Documents

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| | | Ctry. Code | Number-KindCode (If known) | | | | |
| | B1 | | | | | | |
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
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